BLCK

First Trust Indxx Innovative Transaction and Process ETF

Fund Facts

Ticker	BLCK
Cusip	33740T102
Exchange	TSX
Inception Date	23/03/2018
Management Fee	0.15%*
Management Expense Ratio	0.81%[1]
Distribution Frequency	Quarterly
Benchmark	S&P 500 Index CAD
Total Net Asset Value	$2,109,003

Portfolio Characteristics of the Underlying Fund

Maximum Market Cap.	$7,828,932
Median Market Cap.	$223,603
Minimum Market Cap.	$36,731
Price/Earnings	15.30
Price/Book	2.02
Price/Cash Flow	11.56
Price/Sales	2.13
Distribution Rate	2.30%[2]

Top Geographic Breakdown of the Underlying Fund

	% of NAV*
United States	39.49%
China	11.44%
Germany	6.57%
United Kingdom	5.94%
Australia	4.62%
Japan	3.83%
India	3.76%
Canada	3.34%
Hong Kong	3.25%
Spain	2.91%

Investment Objective

The First Trust ETF seeks to replicate, to the extent possible, the performance of an innovative transaction and process index. Currently, the First Trust ETF seeks to replicate, to the extent possible, the performance of the Indxx Blockchain Index, net of expenses. The First Trust ETF will obtain exposure to the Index by investing in First Trust Indxx Innovative Transaction & Process ETF (the "underlying fund"), a US-listed index ETF which itself replicates or substantially replicates the Index.

Key Features

The fund provides an easy way to gain exposure to exchange-listed companies that are actively investing resources into products or services that use blockchain technology, coupled with the key features traditionally provided by an ETF, such as transparency, liquidity and diversification.

- **A growing number of industries and institutions are looking to embed blockchain technology into their day-to-day processes and may be poised to benefit from continued acceleration of blockchain adoption.**
- **As companies continue to experiment with new ways to harness the benefits of this new technology, potential growth opportunities could develop.**

Risk rating

First Trust Portfolios Canada has rated the volatility of this ETF as medium to high. This rating is based on how much the ETF's returns have changed from year to year. It doesn't tell you how volatile the ETF will be in the future. The rating can change over time. An ETF with a low risk rating can still lose money.



For more information about the risk rating and specific risks that can affect the ETF's returns, see the Risk Factors section of the ETF's prospectus.

Annualized Performance	1-month	3-month	6-month	YTD	1-year	3-year	5-year	10-year	Since Inception
NAV	0.14%	1.96%	16.53%	14.86%	20.29%	24.77%	13.75%	-	12.89%

[1] As of December 31, 2025 audited annual financial statements and MRFP.

[2] Distribution rate is calculated by dividing the most recent annualized distribution paid or declared by the Net Asset Value. Distribution rates may vary. The distributed amount may include return of capital (ROC) from time to time.

*The First Trust ETF's management fee will also bear the management fee of the underlying fund (i.e. First Trust Indxx Innovative Transaction & Process ETF).

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FUND PROFILE | as of 30/09/2026

First Trust Indxx Innovative Transaction and Process ETF

The First Trust ETF will obtain exposure to the Index by investing in the Underlying Fund, a US-listed index ETF which itself replicates or substantially replicates the Index.

Sector Weightings of the Underlying Fund	% of NAV*
Financials	49.31%
Information Technology	19.95%
Communication Services	9.11%
Consumer Discretionary	7.83%
Industrials	6.22%
Utilities	2.83%
Materials	2.22%
Consumer Staples	1.17%
Cash & Equivalents	1.06%
Energy	0.89%

Top Holdings of the Underlying Fund	% of NAV
Meta Platforms, Inc. (Class A)	1.33%
Bank of Communications Co., Ltd. (Class H)	1.23%
Postal Savings Bank of China Co., Ltd. (Class H)	1.22%
Workday, Inc. (Class A)	1.22%
Bank of China Ltd.	1.21%
HDFC Bank Ltd. (ADR)	1.21%
Anz Group Holdings Ltd	1.20%
BOC Hong Kong (Holdings) Limited	1.19%
Cisco Systems, Inc.	1.19%
Iberdrola S.A.	1.19%

**Percentage of net asset value may not equal 100%*

Indxx Blockchain Index Methodology

UNIVERSE: Begin with the Indxx universe of eligible companies in developed or emerging market countries. To be included in the index, a stock must have six months trading history or must have started trading at least three months prior to index rebalance/reconstitution.

ELIGIBILITY CRITERIA: Each security must have a minimum market capitalization of $250 million USD, three-month average daily turnover value of at least $1 million USD, minimum free float of 20% and a trading price below $10,000 USD.

SECURITY CLASSIFICATION: All eligible securities are analyzed by Indxx and classified into three tiers based on their exposure to blockchain technology.

- **Active Enablers** – Companies actively developing blockchain technology products or systems for their own internal use and for the sale and support of other companies, are direct service providers for blockchain technology and that have business models that rely on delivering products or services that use blockchain technology. Companies in this category are assigned a score of 1.
- **Active Users** – Companies that are using blockchain technology that is generally supported by an Active Enabler and have at least one test case of using blockchain technology. Companies in this category are assigned a score of 2.
- **Active Explorers** – Companies that have publicly disclosed that they are active in exploring the incorporation of blockchain technology into their business and have a press release on their website or a news article stating that they have started working on the blockchain technology space. These companies do not have at least one use case or test case on blockchain technology and are not currently directly incorporating blockchain technology into their business. Companies in this category are assigned a score of 3.

SECURITY SELECTION AND WEIGHTING: Companies with a score of 3 are ineligible for inclusion in the index. Companies with a score of 1 or 2 are selected and weighted with Active Enablers receiving 50% and Active Users receiving 50%. The selected companies are weighted equally within each category. A maximum weighting cap of 0.50% will be applied to constituents in the Active Enabler category that have a market cap of less than $500 million USD, as well as a 20 day and 3 month average daily turnover volume of less than $3 million USD. The index is capped at 100 names. If more than 100 names qualify for inclusion, the index constituents will be determined based on exposure to blockchain technology where blockchain has the most substantial impact on their respective businesses. Using a multifaceted approach, each company will be assigned an individual score based on business focus, investment, market capitalization, liquidity and other factors. The 100 companies with the highest aggregate scores are selected.

REBALANCE AND RECONSTITUTION: The index is rebalanced and reconstituted semi-annually.



About First Trust

First Trust Canada is the trustee, manager and promoter of the fund. First Trust Canada and its affiliates First Trust Advisors L.P. ("FTA"), portfolio advisor to the fund, an Ontario Securities Commission registered portfolio manager and U.S. Securities and Exchange Commission registered investment advisor, and First Trust Portfolios L.P. ("FTP"), a FINRA registered broker-dealer, are privately held companies that provide a variety of investment services. FTA has approximately US $373.05 billion in total assets under supervision or management.

Commissions, management fees and expenses all may be associated with ETF investments. Please read the prospectus before investing. The indicated rates of return are the historical annual compound total returns including changes in the unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by the unitholder that would have reduced returns. ETFs are not guaranteed, their values change frequently and past performance may not be repeated.

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