

Quarter End Statement of Investments

3rd Quarter as at September 30, 2021

Sector Allocation	% of Net Asset Value
Exchange-Traded Fund (First Trust Indxx Innovative Transaction & Process ETF ["LEGR"])*	99.79%
Cash and Cash Equivalents	0.40%
Total	100.19%
Other Assets and Liabilities	(0.19%)
Total Net Asset Value	100.00%

Holdings	% of Net Asset Value
First Trust Indxx Innovative Transaction & Process ETF	99.79%
Total Net Asset Value	\$2,847,885

Sector Allocation of LEGR	% of Net Asset Value of LEGR
Financials	39.06%
Information Technology	32.03%
Communication Discretionary	8.64%
Communication Services	7.03%
Industrials	4.91%
Utilities	2.70%
Energy	2.40%
Consumer Staples	1.56%
Materials	1.54%
Cash and Cash Equivalents	5.54%

Total	105.41%
Other Assets and Liabilities	(5.41)%
Total Net Asset Value of LEGR	100.00%

Country Allocation of LEGR	% of Net Asset Value of LEGR
United States	37.95%
China	8.59%
India	7.12%
Germany	6.69%
United Kingdom	5.18%
Japan	4.59%
France	4.10%
Cayman Islands	3.50%
Switzerland	2.87%
Russia	2.77%
Australia	2.45%
Spain	2.44%
Finland	2.15%
South Korea	2.04%
Netherlands	1.73%
Hong Kong	1.66%
Sweden	1.22%
Ireland	1.21%
Taiwan	1.17%
Denmark	1.12%
Belgium	0.91%
Singapore	0.84%
Canada	0.83%
Austria	0.78%

Top 25 Holdings of LEGR	% of Net Asset Value of LEGR
Gazprom PJSC, ADR	1.43%
Nordea Bank Abp	1.36%
Sberbank of Russia PJSC, ADR	1.34%
salesforce.com, Inc.	1.34%
Mitsubishi UFJ Financial Group, Inc., ADR	1.33%
VMware, Inc., Class A	1.31%
International Business Machines Corp.	1.30%
Intel Corp.	1.28%
Mastercard, Inc., Class A	1.27%
Swisscom AG	1.27%
AT&T, Inc.	1.27%
Tata Consultancy Services Ltd.	1.26%
Industrial & Commercial Bank of China Ltd., Class H	1.26%
China Construction Bank Corp., Class H	1.26%
Micron Technology, Inc.	1.25%
Oracle Corp.	1.25%
Cognizant Technology Solutions Corp., Class A	1.25%
Infosys Ltd., ADR	1.25%
Advanced Micro Devices, Inc.	1.25%
China CITIC Bank Corp., Ltd., Class H	1.24%
Samsung Electronics Co., Ltd.	1.24%
Deutsche Telekom AG	1.23%
Honeywell International, Inc.	1.22%
Microsoft Corp.	1.22%
Telefonaktiebolaget LM Ericsson, Class B	1.22%

Total Net Asset Value of LEGR (in USD) \$132,512,050

*The First Trust ETF obtains exposure to the Indxx Blockchain Index (the "Index") by investing in the Underlying Fund, a US-listed index ETF (i.e. First Trust Indxx Innovative Transaction & Process ETF ["LEGR"]) which itself replicates or substantially replicates the Index.

Commissions, trailing commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. The Quarter End Statement of Investments may change due to the ETF's ongoing portfolio transactions. Updates are available quarterly. Data is as of September 30, 2021 (unaudited).