

> First Trust Indxx Innovative Transaction and Process ETF

Ticker: BLCK

Quarter End Statement of Investments
3rd Quarter as at September 30, 2020

Sector Allocations	% of Net Asset Value
Exchange-Traded Funds (First Trust Indxx Innovative Transaction & Process ETF ["LEGR"])*	99.89%
Cash & Cash Equivalents	0.39%
Total	100.28%
Other Assets and Liabilities	(0.28%)
Total Net Asset Value	100.00%

Country Breakdown of LEGR	% of Net Asset Value of LEGR
United States	32.17%
India	6.99%
France	6.11%
China	5.77%
Japan	5.26%
Switzerland	5.00%
Cayman Islands	4.61%
Germany	4.36%
Spain	4.19%
United Kingdom	3.68%
South Korea	3.10%
Sweden	2.75%
Ireland	2.18%
Finland	2.10%
Canada	1.51%
Australia	1.51%
Taiwan	1.48%
Hong Kong	1.47%
Denmark	1.42%
Netherlands	1.34%
Austria	0.81%
Russia	0.73%
Belgium	0.68%
Italy	0.66%

Sector Allocations of LEGR	% of Net Asset Value of LEGR
Information Technology	38.40%
Financials	34.61%
Communication Services	7.71%
Communication Discretionary	6.05%
Industrials	5.80%
Utilities	3.69%
Consumer Staples	2.26%
Materials	0.74%
Cash and Cash Equivalents	0.59%
Total	99.85%
Other Assets and Liabilities	0.15%
Total Net Asset Value of LEGR	100.00%

*The First Trust ETF obtains exposure to the Indxx Blockchain Index (the "Index") by investing in the Underlying Fund, a US-listed index ETF (i.e. First Trust Indxx Innovative Transaction & Process ETF ["LEGR"]) which itself replicates or substantially replicates the Index.

Commissions, trailing commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the simplified prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. Data is as of September 30, 2020 (unaudited). (11/20)

 **First Trust**
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Ticker: BLCK

Quarter End Statement of Investments
3rd Quarter as at September 30, 2020

Holdings	% of Net Asset Value
First Trust Indxx Innovative Transaction & Process ETF ["LEGR"]*	99.89%
Total Net Asset Value	\$2,107,430

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Top 25 Holdings of LEGR	% of Net Asset Value of LEGR
NVIDIA Corp.	1.58%
Alibaba Group Holding Ltd., ADR	1.58%
Infosys Ltd., ADR	1.58%
Fujitsu Ltd.	1.55%
Tata Consultancy Services Ltd.	1.54%
Baidu, Inc., ADR	1.53%
Cognizant Technology Solutions Corp., Class A	1.53%
Intel Corp.	1.52%
Xilinx, Inc.	1.52%
Micron Technology, Inc.	1.51%
Oracle Corp.	1.50%
JD.com, Inc., ADR	1.50%
Texas Instruments, Inc.	1.50%
Advanced Micro Devices, Inc.	1.50%
PayPal Holdings, Inc.	1.49%
Taiwan Semiconductor Manufacturing Co., Ltd., ADR	1.48%
Microsoft Corp.	1.48%
SGS S.A.	1.46%
International Business Machines Corp.	1.45%
London Stock Exchange Group PLC	1.45%
Honeywell International, Inc.	1.44%
Telefonaktiebolaget LM Ericsson, Class B	1.43%
Amazon.com, Inc.	1.43%
AT&T, Inc.	1.42%
AP Moller - Maersk A.S., Class B	1.42%
Total Net Asset Value of LEGR (in USD)	\$42,280,306