

First Trust Indxx NextG ETF

(fka First Trust AlphaDEX™ U.S. Energy Sector Index ETF)

Ticker: NXTG

Quarter End Statement of Investments

3rd Quarter as at September 30, 2021

Sector Allocation	% of Net Asset Value
Exchange-Traded Fund (First Trust Indxx NextG ETF ["Underlying Fund"])*	99.75%
Cash and Cash Equivalents	0.26%
Total	100.01%
Other Assets and Liabilities	(0.01%)
Total Net Asset Value	100.00%

Holdings	% of Net Asset Value
First Trust Indxx NextG ETF	99.75%
Total Net Asset Value	\$2,322,027

Top 25 Holdings of the Underlying Fund	% of Net Asset Value of the Underlying Fund
HCL Technologies Ltd.	1.50%
Tech Mahindra Ltd.	1.47%
Advanced Micro Devices, Inc.	1.46%
United Microelectronics Corp.	1.41%
NVIDIA Corp.	1.38%
Xilinx, Inc.	1.36%
Marvell Technology, Inc.	1.35%
NEC Corp.	1.34%
STMicroelectronics N.V.	1.34%
Tata Consultancy Services Ltd.	1.33%
Renesas Electronics Corp.	1.32%
Sony Group Corp.	1.31%
Apple, Inc.	1.30%
ZTE Corp., Class H	1.30%
Infosys Ltd., ADR	1.30%
Keysight Technologies, Inc.	1.28%
Lenovo Group Ltd.	1.27%
Wipro Ltd., ADR	1.24%
Nippon Telegraph & Telephone Corp.	1.23%
Fujitsu Ltd.	1.23%
SoftBank Corp.	1.22%
Ooredoo QPSC	1.22%
SBA Communications Corp.	1.20%
Broadcom, Inc.	1.20%
Lumentum Holdings, Inc.	1.19%
Total Net Asset Value of the Underlying Fund (in USD)	\$1,081,609,633

Sector Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Information Technology	56.63%
Communication Services	32.26%
Real Estate	7.74%
Consumer Discretionary	2.23%
Industrials	1.01%
Cash and Cash Equivalents	2.77%
Total	102.64%
Other Assets and Liabilities	(2.64)%
Total Net Asset Value of the Underlying Fund	100.00%

Country Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
United States	36.47%
Japan	10.45%
India	7.66%
Taiwan	7.30%
South Korea	4.50%
Sweden	2.75%
Netherlands	2.47%
Germany	2.42%
China	2.39%
Finland	2.35%
Canada	1.85%
Philippines	1.74%
Italy	1.58%
United Kingdom	1.44%
South Africa	1.38%
Hong Kong	1.27%
Spain	1.25%
Qatar	1.22%
Norway	1.12%
Guernsey	1.09%
Cayman Islands	0.84%
United Arab Emirates	0.70%
Thailand	0.67%
Malaysia	0.66%
Singapore	0.66%
Russia	0.66%
Australia	0.65%
Switzerland	0.63%
New Zealand	0.62%
France	0.55%
Brazil	0.53%

*The First Trust ETF obtains exposure to the performance of an index of U.S. companies that have devoted or have committed to devote, material resources to the research, development and application of fifth generation and next generation digital cellular technologies as they emerge, initially the Indxx 5G & NextG Thematic IndexSM (the "Index") by investing in the Underlying Fund, a U.S.-listed index fund (i.e. First Trust Indxx NextG ETF [the "Underlying Fund"]) which itself replicates or substantially replicates the Index.

Commissions, trailing commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. The Quarter End Statement of Investments may change due to the ETF's ongoing portfolio transactions. Updates are available quarterly. Data is as of September 30, 2021 (unaudited). (10/21)