

First Trust Product Performance Sheet

As of 31-January-2018

EXCHANGE-TRADED FUNDS	INCEPTION DATE	CLASS	TICKER	1-MONTH	3-MONTH	6-MONTH	YTD	1-YEAR	3-YEAR	5-YEAR	10-YEAR	SINCE INCEPTION
First Trust AlphaDEX™ U.S. Materials Sector Index ETF	30-Oct-14	Units	FHM	1.06%	3.62%	16.01%	1.06%	14.30%	14.44%	N/A	N/A	12.43%
First Trust AlphaDEX™ U.S. Industrials Sector Index ETF	30-Oct-14	Units	FHG	1.19%	5.27%	17.27%	1.19%	17.84%	11.97%	N/A	N/A	10.94%
	08-Dec-17	Hedged Units ^c	FHG.F	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
First Trust AlphaDEX™ U.S. Technology Sector Index ETF	30-Oct-14	Units	FHQ	5.87%	3.45%	21.40%	5.87%	33.39%	17.51%	N/A	N/A	17.93%
	08-Dec-17	Hedged Units ^c	FHQ.F	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
First Trust AlphaDEX™ U.S. Utilities Sector Index ETF	30-Oct-14	Units	FHU	-4.00%	-9.86%	-7.07%	-4.00%	-8.83%	2.15%	N/A	N/A	4.32%
Specialty Fund												
First Trust Dorsey Wright U.S. Sector Rotation Index ETF (CAD-Hedged)	29-Sept-16	Common	FSR	4.29%	7.79%	13.56%	4.29%	18.00%	N/A	N/A	N/A	14.69%

A Performance is based on changes on the NAV.

B The indicated rates of return are the historical annual compounded total returns, including changes in unit value and do not take into account sales, redemption or optional charges or income taxes payable by a security holder that would have reduced returns. The Fund was originally launched as a TSX-listed closed-end fund on May 20, 2011 and converted into an exchange traded fund on January 25, 2016 (the "Conversion Date"). Performance shown here prior to the Conversion Date is that of First Trust Short Duration High Yield Bond Fund ("FHY") since its inception date, which is relevant to this Fund as it adopted the investment objectives and strategies of FHY as of the Conversion Date.

C Canadian securities law does not allow for the display of performance data less than one year.



Investment Advisor

First Trust Advisors L.P. is the Investment Advisor to the Fund and has been serving broker/dealers, individuals, and institutional investors from its Chicago-area headquarters since 1991.

- Experienced asset manager/supervisor
- Provider of innovative financial solutions
- Long-term strategic investor recognized for its fundamental and quantitative strategies

Investment Fund Manager

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Commissions, trailing commissions, management fees and expenses all may be associated with fund investments. Please read the prospectus before investing. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Funds are not guaranteed, their values change frequently and past performance may not be repeated.