

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

Company : Grupo Aeroportuario del Pacifico SAB de CV  
 Ticker : GAPB  
 CUSIP No. : P4959P100

Meeting Date : 2020-07-01  
 Meeting Type : Annual  
 ISIN : MX01GA000004

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Reports in Compliance with Article 28, Section IV of Mexican Securities Market Law	For	For
2	Approve Discharge of Directors and Officers	For	For
3	Approve Individual and Consolidated Financial Statements and Approve External Auditors' Report on Financial Statements	For	For
4	Approve Allocation of Income in the Amount of MXN 5.25 Billion Cancel Pending Amount of MXN 1.55 Billion of Share Repurchase Approved at AGM on April 23, 2019; Set Share Repurchase	For	For
5	Maximum Amount of MXN 1.55 Billion	For	For
6	Information on Election or Ratification of Four Directors and Their Alternates of Series BB Shareholders		
7	Elect or Ratify Directors of Series B Shareholders that Hold 10 Percent of Share Capital		
8	Elect or Ratify Directors of Series B Shareholders	For	For
9	Elect or Ratify Board Chairman	For	For
10	Approve Remuneration of Directors for Years 2019 and 2020	For	For
11	Elect or Ratify Director of Series B Shareholders and Member of Nominations and Compensation Committee	For	For
12	Elect or Ratify Chairman of Audit and Corporate Practices Committee	For	For
13	Present Report Regarding Individual or Accumulated Operations Greater Than USD 3 Million		
14	Authorize Board to Ratify and Execute Approved Resolutions	For	For

Company : Grupo Aeroportuario del Centro Norte SAB de CV  
 Ticker : OMAB  
 CUSIP No. : P49530101

Meeting Date : 2020-07-07  
 Meeting Type : Annual/Special  
 ISIN : MX01OM000018

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Present Board of Directors' Reports in Compliance with Article 28, Section IV (D and E) of Stock Market Law	For	For
2	Present CEO and External Auditor Report in Compliance with Article 28, Section IV (B) of Stock Market Law	For	For
3	Present Board of Directors' Reports in Accordance with Article 28, Section IV (A and C) of Stock Market Law Including Tax Report	For	For
4	Approve Allocation of Income	For	For
5	Set Aggregate Nominal Amount of Share Repurchase	For	For
6	Discharge, Elect or Ratify Directors; Elect or Ratify Chairmen of Audit, Corporate Practices, Finance, Planning and Sustainability Committees; Approve their Remuneration	For	For

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7	Appoint Legal Representatives	For	For
	Approve Cancellation of Series B Repurchased Shares and Consequently Reduction in Fixed Portion of Share Capital and		
1	Amend Article 6	For	For
2	Appoint Legal Representatives	For	For

**Company** : Wipro Limited

**Ticker** : 507685

**CUSIP No.** : Y96659142

**Meeting Date** : 2020-07-13

**Meeting Type** : Annual

**ISIN** : INE075A01022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2	Confirm Interim Dividend as Final Dividend	For	For
3	Reelect Azim H. Premji as Director	For	For
	Elect Thierry Delaporte as Director and Approve Appointment and Remuneration of Thierry Delaporte as Chief Executive Officer and Managing Director	For	Against
5	Elect Deepak M. Satwalekar as Director	For	For

**Company** : Dr. Reddy's Laboratories Limited

**Ticker** : 500124

**CUSIP No.** : Y21089159

**Meeting Date** : 2020-07-30

**Meeting Type** : Annual

**ISIN** : INE089A01023

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Dividend	For	For
3	Reelect K Satish Reddy as Director	For	For
	Approve Reappointment and Remuneration of G V Prasad as Whole-time Director Designated as Co-Chairman and Managing Director	For	For
4	Approve Prasad R Menon to Continue Office as Non-Executive Independent Director	For	For
5	Approve Remuneration of Cost Auditors	For	For
6			

**Company** : Companhia Energetica de Minas Gerais SA

**Ticker** : CMIG4

**CUSIP No.** : P2577R110

**Meeting Date** : 2020-07-31

**Meeting Type** : Annual

**ISIN** : BRCMIGACNPR3

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
10	Elect Jose Joao Abdalla Filho as Director Appointed by Preferred Shareholder	None	For
	In Case Neither Class of Shares Reaches the Minimum Quorum Required by the Brazilian Corporate Law to Elect a Board Representative in Separate Elections, Would You Like to Use Your Votes to Elect the Candidate with More Votes to Represent Both Classes?	None	For
11			

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

	Elect Michele da Silva Gonsales Torres as Fiscal Council Member and Ronaldo Dias as Alternate Appointed by Preferred Shareholder		
13	Shareholder	None	For

<b>Company</b>	: Gold Fields Ltd.	<b>Meeting Date</b>	: 2020-08-20
<b>Ticker</b>	: GFI	<b>Meeting Type</b>	: Annual
<b>CUSIP No.</b>	: S31755101	<b>ISIN</b>	: ZAE000018123

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Reappoint PricewaterhouseCoopers Inc as Auditors of the Company	For	For
2.1	Re-elect Terence Goodlace as Director	For	For
2.2	Re-elect Nick Holland as Director	For	For
2.3	Re-elect Richard Menell as Director	For	For
2.4	Re-elect Yunus Suleman as Director	For	For
3.1	Re-elect Yunus Suleman as Chairperson of the Audit Committee	For	For
3.2	Re-elect Alhassan Andani as Member of the Audit Committee	For	For
3.3	Re-elect Peter Bacchus as Member of the Audit Committee	For	For
3.4	Re-elect Richard Menell as Member of the Audit Committee	For	For
4	Place Authorised but Unissued Shares under Control of Directors	For	For
1	Authorise Board to Issue Shares for Cash	For	For
1	Approve Remuneration Policy	For	For
2	Approve Remuneration Implementation Report	For	For
2	Approve Remuneration of Non-Executive Directors	For	For
3	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	For	For
4	Authorise Repurchase of Issued Share Capital	For	For

<b>Company</b>	: TIM Participacoes SA	<b>Meeting Date</b>	: 2020-08-31
<b>Ticker</b>	: TIMP3	<b>Meeting Type</b>	: Special
<b>CUSIP No.</b>	: P91536469	<b>ISIN</b>	: BRTIMPACNOR1

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger Agreement between the Company and TIM S.A. Ratify Apsis Consultoria e Avaliacoes Ltda. and Apsis Consultoria Empresarial Ltda. as the Independent Firms to Appraise	For	For
2	Proposed Transactions	For	For
3	Approve Independent Firm's Appraisals	For	For
4	Subsequent Extinction of the Company	For	For
5	Authorize Board to Ratify and Execute Approved Resolutions	For	For
6	Amend Long-Term Incentive Plans	For	Against

<b>Company</b>	: Banco Santander (Brasil) SA	<b>Meeting Date</b>	: 2020-08-31
<b>Ticker</b>	: SANB11	<b>Meeting Type</b>	: Special
<b>CUSIP No.</b>	: P1505Z160	<b>ISIN</b>	: BRSANBCDAM13

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## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Ratify PricewaterhouseCoopers Auditores Independentes as the Independent Firm to Appraise Proposed Transactions	For	For
2	Approve Independent Firm's Appraisals	For	For
3	Approve Agreement to Absorb Bosan Participacoes S.A. (Bosan)	For	For
4	Approve Absorption of Bosan Participacoes S.A. (Bosan)	For	For
5	Approve Agreement to Absorb Banco Ole Consignado S.A. (Banco Ole)	For	For
6	Approve Absorption of Banco Ole Consignado S.A. (Banco Ole)	For	For
7	Authorize Board to Ratify and Execute Approved Resolutions	For	For

Company : NetEase, Inc.  
 Ticker : 9999  
 CUSIP No. : G6427A102

Meeting Date : 2020-09-25  
 Meeting Type : Annual  
 ISIN : KYG6427A1022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect William Lei Ding as Director	For	For
1b	Elect Alice Yu-Fen Cheng as Director	For	For
1c	Elect Denny Ting Bun Lee as Director	For	For
1d	Elect Joseph Tze Kay Tong as Director	For	For
1e	Elect Lun Feng as Director	For	For
1f	Elect Michael Man Kit Leung as Director	For	For
1g	Elect Michael Sui Bau Tong as Director	For	For
2	Approve Appointment of PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Auditors	For	For

Company : SABESP  
 Ticker : SBSP3  
 CUSIP No. : P2R268136

Meeting Date : 2020-09-25  
 Meeting Type : Special  
 ISIN : BRBSPACNOR5

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Elect Ernesto Mascellani Neto as Fiscal Council Member	For	For

Company : China Petroleum & Chemical Corp.  
 Ticker : 386  
 CUSIP No. : Y15010104

Meeting Date : 2020-09-28  
 Meeting Type : Special  
 ISIN : CNE1000002Q2

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Disposal of Assets and External Investment	For	For
2	Approve Special Interim Dividend Distribution Plan for 2020	For	For

Company : PetroChina Company Limited  
 Ticker : 857  
 CUSIP No. : Y6883Q104

Meeting Date : 2020-09-28  
 Meeting Type : Special  
 ISIN : CNE1000003W8

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### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Transactions and Authorize the Chairman to Deal with All Related Matters	For	For
2	Elect Huang Yongzhang as Director	For	For
<b>Company : Sociedad Quimica y Minera de Chile SA</b>		<b>Meeting Date : 2020-09-29</b>	
<b>Ticker : SQM.B</b>		<b>Meeting Type : Special</b>	
<b>CUSIP No. : P8716X108</b>		<b>ISIN : CLP8716X1082</b>	

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Interim Dividends of USD 0.38 per Share	For	For
<b>Company : Mobile TeleSystems PJSC</b>		<b>Meeting Date : 2020-09-30</b>	
<b>Ticker : MTSS</b>		<b>Meeting Type : Special</b>	
<b>CUSIP No. : X5430T109</b>		<b>ISIN : RU0007775219</b>	

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Interim Dividends of RUB 8.93 per Share for First Six Months of Fiscal 2020	For	For
2	Approve Company's Membership in Open Network Technologies	For	For
3	Approve New Edition of Regulations on Board of Directors	For	For
4	Approve New Edition of Regulations on Management	For	For
<b>Company : Vedanta Limited</b>		<b>Meeting Date : 2020-09-30</b>	
<b>Ticker : 500295</b>		<b>Meeting Type : Annual</b>	
<b>CUSIP No. : Y9364D105</b>		<b>ISIN : INE205A01025</b>	

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Standalone Financial Statements and Statutory Reports	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	For	For
3	Confirm First Interim Dividend	For	For
4	Reelect GR Arun Kumar as Director	For	For
5	Elect Anil Kumar Agarwal as Director	For	For
6	Reelect Priya Agarwal as Director	For	For
7	Approve Reappointment and Remuneration of GR Arun Kumar as Whole-Time Director Designated as Chief Financial Officer (CFO)	For	For
8	Approve Payment of Remuneration to Srinivasan Venkatakrishnan as Whole-Time Director Designated as Chief Executive Officer (CEO)	For	Against
9	Approve Remuneration of Cost Auditors	For	For
<b>Company : Telefonica Brasil SA</b>		<b>Meeting Date : 2020-10-01</b>	
<b>Ticker : VIVT4</b>		<b>Meeting Type : Special</b>	
<b>CUSIP No. : P9T369168</b>		<b>ISIN : BRVIVTACNPR7</b>	

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Conversion of All Preferred Shares into Common Shares at Ratio of 1:1	For	For
2	Ratify Amendment of Article 9	For	For
<b>Company : PetroChina Company Limited</b>		<b>Meeting Date : 2020-11-05</b>	
<b>Ticker : 857</b>		<b>Meeting Type : Special</b>	
<b>CUSIP No. : Y6883Q104</b>		<b>ISIN : CNE1000003W8</b>	
<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Continuing Connected Transactions, Proposed Annual Caps and	For	Against
2	Elect Lv Bo as Supervisor	For	For
<b>Company : Wipro Limited</b>		<b>Meeting Date : 2020-11-18</b>	
<b>Ticker : 507685</b>		<b>Meeting Type : Special</b>	
<b>CUSIP No. : Y96659142</b>		<b>ISIN : INE075A01022</b>	
<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Buyback of Equity Shares	For	For
<b>Company : Enel Americas SA</b>		<b>Meeting Date : 2020-12-18</b>	
<b>Ticker : ENELAM</b>		<b>Meeting Type : Special</b>	
<b>CUSIP No. : P37186106</b>		<b>ISIN : CLP371861061</b>	
<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Merger by Absorption of EGP Americas SpA	For	For
2	EGP Americas SpA	For	For
3	Amend Articles; Consolidate Bylaws	For	For
4	Authorize Board to Ratify and Execute Approved Resolutions	For	For
5	Receive Report Regarding Related-Party Transactions		
<b>Company : Huazhu Group Limited</b>		<b>Meeting Date : 2020-12-23</b>	
<b>Ticker : 1179</b>		<b>Meeting Type : Annual</b>	
<b>CUSIP No. : G46587104</b>		<b>ISIN : KYG465871047</b>	
<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
2	Amend Articles of Association	For	For
3	Elect Lei Cao and Theng Fong Hee as Directors	For	Against
4	Authorize Board to Ratify and Execute Approved Resolutions	For	For
<b>Company : Companhia Brasileira de Distribuicao</b>		<b>Meeting Date : 2020-12-31</b>	
<b>Ticker : PCAR3</b>		<b>Meeting Type : Special</b>	
<b>CUSIP No. : P30558103</b>		<b>ISIN : BRPCARACNOR3</b>	

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### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Ratify Magalhaes Andrade S/S Auditores Independentes as the Independent Firm to Appraise Proposed Transaction	For	For
2	Approve Independent Firm's Appraisal Re: Sendas Distribuidora S.A. (Sendas)	For	For
3	Approve Agreement for Partial Spin-Off of Sendas Distribuidora S.A. (Sendas) and Absorption of Partial Spun-Off Assets	For	For
4	Approve Partial Spin-Off of Sendas Distribuidora S.A. (Sendas) and Absorption of Partial Spun-Off Assets	For	For
5	Resolutions	For	For
6	Independent Firm to Appraise Proposed Transaction	For	For
7	de Distribuicao (CBD)	For	For
8	de Distribuicao (CBD) and Absorption of Partial Spun-Off Assets	For	For
9	(CBD) and Absorption of Partial Spun-Off Assets by Sendas	For	For
10	Authorize Executives to Ratify and Execute Approved Resolutions	For	For
11	Amend Article 4 to Reflect Changes in Capital	For	For
12	Consolidate Bylaws	For	For

**Company** : Cosan Ltd.

**Ticker** : CZZ

**CUSIP No.** : G25343107

**Meeting Date** : 2021-01-22

**Meeting Type** : Special

**ISIN** : BMG253431073

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Agreement to Absorb and Absorption of Cosan Limited (CZZ) by Cosan S.A. (CSAN)	For	For

**Company** : Sociedad Quimica y Minera de Chile SA

**Ticker** : SQM.B

**CUSIP No.** : P8716X108

**Meeting Date** : 2021-01-22

**Meeting Type** : Special

**ISIN** : CLP8716X1082

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Increase in Share Capital via Issuance of up to 22.44 Million New Series B Shares with Preemptive Rights	For	For
2	Authorize Board to Set Price, Form, Time, Procedure and other Conditions for Placement of Shares in Connection with Capital Increase	For	For
3	Amend Articles to Reflect Changes in Capital	For	Against
4	Authorize Board to Ratify and Execute Approved Resolutions	For	For

**Company** : Centrais Eletricas Brasileiras SA

**Ticker** : ELET6

**CUSIP No.** : P22854106

**Meeting Date** : 2021-01-28

**Meeting Type** : Special

**ISIN** : BRELETACNPB7

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Amend Articles	For	Against

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### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

Company : Autohome Inc.  
 Ticker : ATHM  
 CUSIP No. : 05278C107

Meeting Date : 2021-02-02  
 Meeting Type : Special  
 ISIN : US05278C1071

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Recapitalization Plan	For	For
2	Adopt New Memorandum of Association and Articles of Association	For	For

Company : Mobile TeleSystems PJSC  
 Ticker : MTSS  
 CUSIP No. : X5430T109

Meeting Date : 2021-02-15  
 Meeting Type : Special  
 ISIN : RU0007775219

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Approve Reorganization via Acquisition of OOO Stv	For	For
1.2	Approve Reorganization via Acquisition of OOO Stream	For	For
1.3	Approve Reorganization via Acquisition of OOO Oblachnyi Riteil	For	For
1.4	Approve Reorganization via Acquisition of OOO Oblachnyi Riteil Plius	For	For
1.5	Approve Reorganization via Acquisition of OOO MKS Balashikha	For	For
1.6	Approve Reorganization via Acquisition of AO NPO Progtek	For	For
2.1	Amend Charter in Connection with Reorganization Proposed under Item 1.1	For	For
2.2	Amend Charter in Connection with Reorganization Proposed under Item 1.2	For	For
2.3	Amend Charter in Connection with Reorganization Proposed under Item 1.3	For	For
2.4	Amend Charter in Connection with Reorganization Proposed under Item 1.4	For	For
2.5	Amend Charter in Connection with Reorganization Proposed under Item 1.5	For	For
2.6	Amend Charter in Connection with Reorganization Proposed under Item 1.6	For	For
3.1	Approve Company's Membership in StroySvyazTelecom	For	For
3.2	Approve Company's Membership in ProektSvyazTelecom	For	For
4	Approve New Edition of Regulations on Board of Directors	For	For
5	Approve New Edition of Regulations on Management	For	For
6	Approve New Edition of Regulations on CEO	For	For
7	Approve New Edition of Regulations on Audit Commission	For	For

Company : Vale SA  
 Ticker : VALE3  
 CUSIP No. : P9661Q155

Meeting Date : 2021-03-12  
 Meeting Type : Special  
 ISIN : BRVALEACNORO

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Amend Articles	For	For
2	Amend Articles 9 and 11	For	For



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3	Amend Article 11 Re: Fix Minimum Number of Board Members to 11	For	For
4	Amend Article 11 Re: Independent Board Members	For	For
5	Amend Article 11 Re: Board Chairman and Vice-Chairman	For	For
6	Amend Article 11 Re: Lead Independent Board Member	For	For
7	Amend Article 11 Re: Election of the Board Members	For	For
8	Amend Article 11 Re: Election of the Board Members	For	Against
9	Amend Article 11 Re: Renumbering and Adjustment of Points 11 and 12	For	For
10	Amend Article 12	For	For
11	Amend Articles 14 and 29	For	For
12	Amend Article 15	For	For
13	Amend Article 23	For	For
14	Consolidate Bylaws	For	For

**Company** : Coca-Cola FEMSA SAB de CV  
**Ticker** : KOFUBL  
**CUSIP No.** : P2861Y177

**Meeting Date** : 2021-03-19  
**Meeting Type** : Annual  
**ISIN** : MX01KO000002

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Financial Statements and Statutory Reports	For	For
2	Approve Allocation of Income and Cash Dividends	For	For
3	Set Maximum Amount of Share Repurchase Reserve	For	For
4	Classification; Approve Their Remuneration	For	Against
5	Elect Members of Planning and Financing Committee, Audit Committee and Corporate Practices Committee; Elect Chairman of Committees and Fix their Remuneration	For	Against
6	Authorize Board to Ratify and Execute Approved Resolutions	For	For
7	Approve Minutes of Meeting	For	For

**Company** : Fomento Economico Mexicano SAB de CV  
**Ticker** : FEMSAUBD  
**CUSIP No.** : P4182H115

**Meeting Date** : 2021-03-24  
**Meeting Type** : Annual  
**ISIN** : MXP320321310

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Financial Statements and Statutory Reports	For	For
2	Approve Allocation of Income and Cash Dividends	For	For
3	Set Maximum Amount of Share Repurchase Reserve	For	For
4	Classification as Per Mexican Securities Law, and Approve their Elect Members and Chairmen of Planning and Finance, Audit, and Corporate Practices Committees; Approve Their	For	Against
5	Remuneration	For	Against
6	Authorize Board to Ratify and Execute Approved Resolutions	For	For
7	Approve Minutes of Meeting	For	For

**Company** : Ecopetrol SA  
**Ticker** : ECOPETROL  
**CUSIP No.** : P3661P101

**Meeting Date** : 2021-03-26  
**Meeting Type** : Annual  
**ISIN** : COC04PA00016

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Safety Guidelines		
2	Verify Quorum		
3	Opening by Chief Executive Officer		
4	Approve Meeting Agenda	For	For
5	Elect Chairman of Meeting	For	For
6	Appoint Committee in Charge of Scrutinizing Elections and Polling	For	For
7	Elect Meeting Approval Committee	For	For
8	Amend Articles	For	For
9	Present Board of Directors' Report on its Operation, Development and Compliance with the Corporate Governance Code		
10	Present Board of Directors and Chairman's Report		
11	Present Individual and Consolidated Financial Statements		
12	Present Auditor's Report		
13	Approve Board of Directors' Report on its Operation, Development and Compliance with the Corporate Governance Code	For	For
14	Approve Management Reports	For	For
15	Approve Individual and Consolidated Financial Statements	For	For
16	Approve Allocation of Income	For	For
17	Elect Directors	For	For
18	Approve Auditors and Authorize Board to Fix Their Remuneration	For	For
19	Transact Other Business (Non-Voting)		

**Company** : Banco Santander (Brasil) SA  
**Ticker** : SANB11  
**CUSIP No.** : P1505Z160

**Meeting Date** : 2021-03-31  
**Meeting Type** : Special  
**ISIN** : BRSANBCDAM13

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Appoint PricewaterhouseCoopers Auditores Independentes as Independent Firm to Appraise Proposed Transaction	For	For
2	Approve Independent Firm's Appraisal	For	For
3	Approve Agreement for Partial Spin-Off of Banco Santander (Brasil) SA	For	For
4	Approve Partial Spin-Off of Banco Santander (Brasil) SA and Absorption of Partial Spun-Off Assets	For	For
5	Authorize Board to Ratify and Execute Approved Resolutions	For	For
6	Approve Reduction in Share Capital without Cancellation of Shares	For	For
7	Amend Article 5 to Reflect Changes in Capital	For	For
8	Amend Article 30	For	For
9	Consolidate Bylaws	For	For

**Company** : Petroleo Brasileiro SA

**Meeting Date** : 2021-04-12

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

Ticker : **PETRA4**  
CUSIP No. : **P78331140**

Meeting Type : **Special**  
ISIN : **BRPETRACNPR6**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Remove Directors Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	For	Against
2.1	Elect Directors	None	For
2.2	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	For	For
2.3	Percentage of Votes to Be Assigned - Elect Eduardo Bacellar Leal Ferreira as Director	None	Against
2.4.a	Percentage of Votes to Be Assigned - Elect Joaquim Silva e Luna as Director	None	Abstain
2.4.b	Percentage of Votes to Be Assigned - Elect Ruy Flaks Schneider as Independent Director	None	Abstain
2.4.c	Percentage of Votes to Be Assigned - Elect Marcio Andrade Weber as Independent Director	None	Abstain
2.4.d	Percentage of Votes to Be Assigned - Elect Murilo Marroquim de Souza as Independent Director	None	Abstain
2.4.e	Percentage of Votes to Be Assigned - Elect Sonia Julia Sulzbeck Villalobos as Independent Director	None	Abstain
2.4.f	Percentage of Votes to Be Assigned - Elect Cynthia Santana Silveira as Independent Director	None	Abstain
2.4.g	Percentage of Votes to Be Assigned - Elect Ana Silvia Corso Matte as Independent Director	None	Abstain
2.4.h	Antonelli as Director Appointed by Minority Shareholder	None	For
2.4.i	Percentage of Votes to Be Assigned - Elect Marcelo Gasparino da Silva as Director Appointed by Minority Shareholder	None	For
2.4.j	Percentage of Votes to Be Assigned - Elect Pedro Rodrigues Galvao de Medeiros as Director Appointed by Minority Shareholder	None	For
2.4.k	Elect Eduardo Bacellar Leal Ferreira as Board Chairman	None	For
2.5		For	For

Company : **Compania Cervecerias Unidas SA**  
Ticker : **CCU**  
CUSIP No. : **P24905104**

Meeting Date : **2021-04-14**  
Meeting Type : **Annual**  
ISIN : **CLP249051044**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Receive Chairman's Report		
2	Approve Consolidated Financial Statements and Statutory Reports	For	For
3	Approve Allocation of Income and Dividends of CLP 139.17 per Share	For	For
4	Present Dividend Policy and Distribution Procedures		
5	Elect Directors	For	Withhold
6	Approve Remuneration of Directors	For	For

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

7	Approve Remuneration and Budget of Directors' Committee	For	For
8	Approve Remuneration and Budget of Audit Committee	For	For
9	Appoint Auditors	For	For
10	Designate Risk Assessment Companies	For	For
11	Receive Directors' Committee Report on Activities		
12	Receive Report Regarding Related-Party Transactions		
13	Transact Other Business (Non-Voting)		

**Company** : **Petroleo Brasileiro SA**

**Ticker** : **PETR4**

**CUSIP No.** : **P78331140**

**Meeting Date** : **2021-04-14**

**Meeting Type** : **Annual/Special**

**ISIN** : **BRPETRACNPR6**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	For
3.1	Elect Fiscal Council Members	For	Abstain
3.2	In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate?	None	Against
3.4	Elect Patricia Valente Stierli as Fiscal Council Member and Robert Juenemann as Alternate Appointed by Minority Shareholder	None	For
4	Approve Remuneration of Company's Management and Fiscal Council	For	For
5	in this Remote Voting Card May Also be Considered for the Approve Absorption of Companhia de Desenvolvimento e Modernizacao de Plantas Industriais S.A. (CDMPI)	None	For
6	In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call?	For	For
7		None	For

**Company** : **Sociedad Quimica y Minera de Chile SA**

**Ticker** : **SQM.B**

**CUSIP No.** : **P8716X108**

**Meeting Date** : **2021-04-23**

**Meeting Type** : **Annual**

**ISIN** : **CLP8716X1082**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2	Designate Auditors	For	For
3	Designate Risk Assessment Companies	For	For
4	Designate Account Inspectors	For	For
5	Approve Investment Policy	For	For
6	Approve Financing Policy	For	For
7	Approve Dividends	For	For
8	Approve Remuneration of Board of Directors and Board Committees	For	For
9	Other Business	For	Against

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

Company : America Movil SAB de CV  
 Ticker : AMXL  
 CUSIP No. : P0280A101

Meeting Date : 2021-04-26  
 Meeting Type : Special  
 ISIN : MXP001691213

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Elect or Ratify Pablo Roberto Gonzalez Guajardo as Director for Series L Shareholders	For	Against
1.2	Elect or Ratify David Ibarra Munoz as Director for Series L Shareholders	For	Against
2	Authorize Board to Ratify and Execute Approved Resolutions	For	Against

Company : Centrais Eletricas Brasileiras SA  
 Ticker : ELET6  
 CUSIP No. : P22854106

Meeting Date : 2021-04-27  
 Meeting Type : Annual  
 ISIN : BRELETACNPB7

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	For
3	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	None	Abstain
4.1	Elect Lucia Maria Martins Casasanta as Director	For	Against
4.2	Elect Wilson Ferreira Junior as Director	For	Against
4.3	Elect Marcelo de Siqueira Freitas as Director	For	Against
4.4	Elect Bruno Eustaquio Ferreira Castro de Carvalho as Director	For	Against
4.5	Elect Ruy Flaks Schneider as Independent Director	For	For
4.6	Elect Ana Carolina Tannuri Laferte Marinho as Director	For	Against
4.7	Elect Rodrigo Limp Nascimento as Director	For	Against
5	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	None	Abstain
6.1	Percentage of Votes to Be Assigned - Elect Lucia Maria Martins Casasanta as Director	None	Abstain
6.2	Percentage of Votes to Be Assigned - Elect Wilson Ferreira Junior as Director	None	Abstain
6.3	Percentage of Votes to Be Assigned - Elect Marcelo de Siqueira Freitas as Director	None	Abstain
6.4	Percentage of Votes to Be Assigned - Elect Bruno Eustaquio Ferreira Castro de Carvalho as Director	None	Abstain
6.5	Percentage of Votes to Be Assigned - Elect Ruy Flaks Schneider as Independent Director	None	Abstain
6.6	Percentage of Votes to Be Assigned - Elect Ana Carolina Tannuri Laferte Marinho as Director	None	Abstain
6.7	Percentage of Votes to Be Assigned - Elect Rodrigo Limp Nascimento as Director	None	Abstain
6.8	Percentage of Votes to Be Assigned - Elect Director	None	Abstain

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

7	Elect Daniel Alves Ferreira as Director Appointed by Minority Shareholder	None	For
8	In Case Neither Class of Shares Reaches the Minimum Quorum Required by the Brazilian Corporate Law to Elect a Board Representative in Separate Elections, Would You Like to Use Your Votes to Elect the Candidate with More Votes to Represent Both Classes?	None	For
11.1	Member and Ricardo Takemitsu Simabuku as Alternate	For	Abstain
11.2	Elect Domingos Romeu Andreatta as Fiscal Council Member and Ingrid Palma Araujo as Alternate	For	Abstain
11.3	Elect Rafael Rezende Brigolini as Fiscal Council Member and Rafael Souza Pena as Alternate	For	Abstain
12	Elect Carlos Eduardo Teixeira Taveiros as Fiscal Council Member and Robert Juenemann as Alternate Appointed by Minority Shareholder	None	For
14	Approve Remuneration of Company's Management and Fiscal Council	For	For

Company : [Sendas Distribuidora SA](#)

Ticker : [ASAI3](#)

CUSIP No. : [P8T81L100](#)

Meeting Date : [2021-04-28](#)

Meeting Type : [Annual/Special](#)

ISIN : [BRASAIACNOR0](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	For
3	Rectify Remuneration of Company's Management and Fiscal Council for 2021	For	For
1	Amend Article 43 Re: Indemnity Provision	For	Against
2	Remove Article 45	For	For
3	Consolidate Bylaws	For	For
4	Approve Registration with JUCERJA of the Independent Firm's Appraisal (Re: Partial Spin-Off) from the last Dec. 31, 2020 EGM	For	For

Company : [Companhia Brasileira de Distribuicao](#)

Ticker : [PCAR3](#)

CUSIP No. : [P30558103](#)

Meeting Date : [2021-04-28](#)

Meeting Type : [Annual/Special](#)

ISIN : [BRPCARACNOR3](#)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	For
3	Approve Remuneration of Company's Management and Fiscal Council	For	Against
1	Authorize Capitalization of Reserves without Issuance of Shares and Amend Article 4 Accordingly	For	For
2	Add Article 39 Re: Indemnity Provision	For	Against

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

3	Consolidate Bylaws	For	For
1	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	None	For

Company : Enel Americas SA  
 Ticker : ENELAM  
 CUSIP No. : P37186106

Meeting Date : 2021-04-29  
 Meeting Type : Annual  
 ISIN : CLP371861061

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Financial Statements and Statutory Reports	For	For
2	Approve Allocation of Income and Dividends	For	For
3.A	Elect Borja Acha B. as Director Nominated by Enel S.p.A.	For	For
3.B	Elect Domingo Cruzat A. as Director Nominated by Enel S.p.A.	For	For
3.C	Elect Giulia Genuardi as Director Nominated by Enel S.p.A.	For	Withhold
3.D	Elect Patricio Gomez S. as Director Nominated by Enel S.p.A.	For	For
3.E	Elect Francesca Gostinelli as Director Nominated by Enel S.p.A.	For	Withhold
3.F	Elect Hernan Somerville S. as Director Nominated by Enel S.p.A.	For	Withhold
3.G	Elect Jose Antonio Vargas as Director Nominated by Enel S.p.A.	For	Withhold
4	Approve Remuneration of Directors	For	For
5	Approve Remuneration of Directors' Committee and Approve Their Budget for FY 2021	For	For
6	Present Board's Report on Expenses; Present Directors' Committee Report on Activities and Expenses		
7	Appoint Auditors	For	For
8	Designate Risk Assessment Companies	For	For
9	Approve Investment and Financing Policy	For	For
10	Present Dividend Policy and Distribution Procedures		
11	Receive Report Regarding Related-Party Transactions		
12	Present Report on Processing, Printing, and Mailing Information Required by Chilean Law		
13	Other Business	For	Against
14	Authorize Board to Ratify and Execute Approved Resolutions	For	For

Company : Companhia de Saneamento Basico do Estado de Sao Paulo  
 SABESP

Meeting Date : 2021-04-29

Ticker : SBSP3  
 CUSIP No. : P2R268136

Meeting Type : Annual/Special  
 ISIN : BRSPACNORS

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	Against
3	Elect Fiscal Council Members	For	For
4	Approve Remuneration of Company's Management and Fiscal Council	For	For

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

1	Ratify Election of Luis Eduardo de Assis as Independent Director	For	For
2	Amend Articles Re: Competences of Executive Directors	For	For
3	Consolidate Bylaws	For	For

Company : Cosan SA  
 Ticker : CSAN3  
 CUSIP No. : P31573101

Meeting Date : 2021-04-30  
 Meeting Type : Annual/Special  
 ISIN : BRCSANACNOR6

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	For
3	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	None	For
4	Fix Number of Fiscal Council Members at Three	For	For
5	Elect Fiscal Council Members	For	For
6	Approve Remuneration of Company's Management and Fiscal Council	For	Against
1	Amend Article 5 to Reflect Changes in Capital	For	For
2	Amend Indemnity Policy	For	Against
3	Approve 4-for-1 Stock Split and Amend Article 5 Accordingly	For	For

Company : Vale SA  
 Ticker : VALE3  
 CUSIP No. : P9661Q155

Meeting Date : 2021-04-30  
 Meeting Type : Annual/Special  
 ISIN : BRVALEACNORO

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	For
3	Fix Number of Directors at 13	For	For
4	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	None	Abstain
5.1	Elect Jose Luciano Duarte Penido as Independent Director	For	For
5.2	Elect Fernando Jorge Buso Gomes as Director	For	For
5.3	Elect Clinton James Dines as Independent Director	For	For
5.4	Elect Eduardo de Oliveira Rodrigues Filho as Director	For	For
5.5	Elect Elaine Dorward-King as Independent Director	For	For
5.6	Elect Jose Mauricio Pereira Coelho as Director	For	For
5.7	Elect Ken Yasuhara as Director	For	Against
5.8	Elect Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) as Independent Director	For	For
5.9	Elect Maria Fernanda dos Santos Teixeira as Independent Director	For	For
5.10	Elect Murilo Cesar Lemos dos Santos Passos as Independent Director	For	For
5.11	Elect Roger Allan Downey as Independent Director	For	For



## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

5.12	Elect Sandra Maria Guerra de Azevedo as Independent Director	For	For
	Elect Marcelo Gasparino da Silva as Independent Director		
5.13	Appointed by Shareholders	None	Abstain
	Elect Mauro Gentile Rodrigues da Cunha as Independent		
5.14	Director Appointed by Shareholders	None	Abstain
	Elect Rachel de Oliveira Maia as Independent Director Appointed		
5.15	by Shareholders	None	For
	Elect Roberto da Cunha Castello Branco as Independent Director		
5.16	Appointed by Shareholders	None	Abstain
	In Case Cumulative Voting Is Adopted, Do You Wish to Equally		
6	Distribute Your Votes Amongst the Nominees below?	None	Abstain
7.1	Penido as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Fernando Jorge Buso		
7.2	Gomes as Director	None	For
	Percentage of Votes to Be Assigned - Elect Clinton James Dines		
7.3	as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Eduardo de Oliveira		
7.4	Rodrigues Filho as Director	None	For
	Percentage of Votes to Be Assigned - Elect Elaine Dorward-King		
7.5	as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Jose Mauricio Pereira		
7.6	Coelho as Director	None	For
	Percentage of Votes to Be Assigned - Elect Ken Yasuhara as		
7.7	Director	None	Abstain
	Percentage of Votes to Be Assigned - Elect Manuel Lino Silva de		
7.8	Sousa Oliveira (Ollie Oliveira) as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Maria Fernanda dos		
7.9	Santos Teixeira as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Murilo Cesar Lemos		
7.10	dos Santos Passos as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Roger Allan Downey		
7.11	as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Sandra Maria Guerra		
7.12	de Azevedo as Independent Director	None	For
	Percentage of Votes to Be Assigned - Elect Marcelo Gasparino da		
7.13	Silva as Independent Director Appointed by Shareholders	None	Abstain
	Percentage of Votes to Be Assigned - Elect Mauro Gentile		
	Rodrigues da Cunha as Independent Director Appointed by		
7.14	Shareholders	None	Abstain
	Maia as Independent Director Appointed by Shareholders		
7.15	Percentage of Votes to Be Assigned - Elect Roberto da Cunha	None	For
	Castello Branco as Independent Director Appointed by		
7.16	Shareholders	None	Abstain
	Elect Jose Luciano Duarte Penido as Board Chairman		
8		For	For
	Elect Roberto da Cunha Castello Branco as Board Chairman		
9	Appointed by Shareholders	None	Abstain
	Elect Fernando Jorge Buso Gomes as Board Vice-Chairman		
10		For	For

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

11	Elect Mauro Gentile Rodrigues da Cunha as Board Vice-Chairman Appointed by Shareholders	None	Abstain
12.1	Elect Cristina Fontes Doherty as Fiscal Council Member and Nelson de Menezes Filho as Alternate	For	For
12.2	Elect Marcus Vinicius Dias Severini as Fiscal Council Member and Vera Elias as Alternate	For	For
12.3	Elect Marcelo Moraes as Fiscal Council Member	For	For
12.4	Elect Raphael Manhaes Martins as Fiscal Council Member and Adriana de Andrade Sole as Alternate	For	For
13	Approve Remuneration of Company's Management and Fiscal Council	For	Against
1	Amend Restricted Stock Plan	For	For
2	Approve Agreement to Absorb Companhia Paulista de Ferroligas (CPFL) and Valesul Alumínio S.A. (Valesul)	For	For
3	Ratify Macso Legate Auditores Independentes (Macso) as Independent Firm to Appraise Proposed Transaction	For	For
4	Approve Independent Firm's Appraisal	For	For
5	Approve Absorption of Companhia Paulista de Ferroligas (CPFL) and Valesul Alumínio S.A. (Valesul) without Capital Increase and without Issuance of Shares	For	For
6	Approve Agreement for Partial Spin-Off of Mineracoes Brasileiras Reunidas S.A. (MBR) and Absorption of Partial Spun- Off Assets	For	For
7	Ratify Macso Legate Auditores Independentes (Macso) as Independent Firm to Appraise Proposed Transaction	For	For
8	Approve Independent Firm's Appraisal	For	For
9	Approve Absorption of Spun-Off Assets without Capital Increase and without Issuance of Shares	For	For

**Company** : Banco Santander (Brasil) SA  
**Ticker** : SANB11  
**CUSIP No.** : P1505Z160

**Meeting Date** : 2021-04-30  
**Meeting Type** : Annual  
**ISIN** : BRSANBCDAM13

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	For	For
2	Approve Allocation of Income and Dividends	For	For
3	Fix Number of Directors at Nine	For	For
4.1	Elect Directors	For	For
4.2	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	None	Against
5	Approve Remuneration of Company's Management	For	Against

**Company** : AngloGold Ashanti Ltd.  
**Ticker** : ANG  
**CUSIP No.** : S04255196

**Meeting Date** : 2021-05-04  
**Meeting Type** : Annual  
**ISIN** : ZAE000043485

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
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## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

1.1	Re-elect Alan Ferguson as Director	For	For
1.2	Re-elect Christine Ramon as Director	For	For
1.3	Re-elect Jochen Tilk as Director	For	For
2	Elect Dr Kojo Busia as Director	For	For
3.1	Re-elect Alan Ferguson as Member of the Audit and Risk Committee	For	For
3.2	Re-elect Rhidwaan Gasant as Member of the Audit and Risk Committee	For	For
3.3	Elect Nelisiwe Magubane as Member of the Audit and Risk Committee	For	For
3.4	Re-elect Maria Richter as Member of the Audit and Risk Committee	For	For
3.5	Re-elect Jochen Tilk as Member of the Audit and Risk Committee	For	For
4	Reappoint Ernst & Young Inc. as Auditors	For	For
5	Place Authorised but Unissued Shares under Control of Directors	For	For
6.1	Approve Remuneration Policy	For	For
6.2	Approve Implementation Report	For	For
7	Approve Remuneration of Non-Executive Directors	For	For
8	Authorise Repurchase of Issued Share Capital	For	For
9	Authorise Board to Issue Shares for Cash	For	For
10	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	For	For
11	Authorise Ratification of Approved Resolutions	For	For

**Company** : Gold Fields Ltd.

**Ticker** : GFI

**CUSIP No.** : S31755101

**Meeting Date** : 2021-05-06

**Meeting Type** : Annual

**ISIN** : ZAE000018123

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Reappoint PricewaterhouseCoopers Inc as Auditors of the Company	For	For
2.1	Elect Chris Griffith as Director	For	For
2.2	Elect Philisiwe Sibiyi as Director	For	For
2.3	Re-elect Cheryl Carolus as Director	For	For
2.4	Re-elect Steven Reid as Director	For	For
2.5	Re-elect Carmen Letton as Director	For	For
3.1	Re-elect Yunus Suleman as Chairperson of the Audit Committee	For	For
3.2	Re-elect Alhassan Andani as Member of the Audit Committee	For	For
3.3	Re-elect Peter Bacchus as Member of the Audit Committee	For	For
3.4	Elect Philisiwe Sibiyi as Member of the Audit Committee	For	For
4	Place Authorised but Unissued Shares under Control of Directors	For	For
1	Authorise Board to Issue Shares for Cash	For	For
1	Approve Remuneration Policy	For	For
2	Approve Remuneration Implementation Report	For	For

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

2	Approve Remuneration of Non-Executive Directors	For	For
3	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	For	For
4	Authorise Repurchase of Issued Share Capital	For	For

Company : **China Petroleum & Chemical Corp.**  
 Ticker : **386**  
 CUSIP No. : **Y15010104**

Meeting Date : **2021-05-25**  
 Meeting Type : **Annual**  
 ISIN : **CNE1000002Q2**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Report of the Board of Directors of Sinopec Corp. (including the Report of the Board of Directors of for 2020)	For	For
2	Approve Report of the Board of Supervisors of Sinopec Corp. (including the Report of the Board of Supervisors for 2020)	For	For
3	Approve 2020 Audited Financial Reports of Sinopec Corp.	For	For
4	Approve 2020 Profit Distribution Plan of Sinopec Corp.	For	For
5	Approve 2021 Interim Profit Distribution Plan of Sinopec Corp.	For	For
6	Approve KPMG Huazhen (Special General Partnership) and KPMG as External Auditors of Sinopec Corp. and Authorize Board to Fix Their Remuneration	For	For
7	Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments	For	Against
8	Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.	For	Against
9	Approve Service Contracts of Directors and Supervisors of Sinopec Corp.	For	For
10.01	Elect Zhang Yuzhuo as Director	For	For
10.02	Elect Ma Yongsheng as Director	For	For
10.03	Elect Zhao Dong as Director	For	For
10.04	Elect Yu Baocai as Director	For	For
10.05	Elect Liu Hongbin as Director	For	For
10.06	Elect Ling Yiqun as Director	For	For
10.07	Elect Li Yonglin as Director	For	For
11.01	Elect Cai Hongbin as Director	For	For
11.02	Elect Ng, Kar Ling Johnny as Director	For	For
11.03	Elect Shi Dan as Director	For	For
11.04	Elect Bi Mingjian as Director	For	For
12.01	Elect Zhang Shaofeng as Supervisor	For	For
12.02	Elect Jiang Zhenying as Supervisor	For	For
12.03	Elect Zhang Zhiguo as Supervisor	For	For
12.04	Elect Yin Zhaolin as Supervisor	For	For
12.05	Elect Guo Hongjin as Supervisor	For	For

Company : **Sibanye Stillwater Ltd.**  
 Ticker : **SSW**  
 CUSIP No. : **S7627K103**

Meeting Date : **2021-05-25**  
 Meeting Type : **Annual**  
 ISIN : **ZAE000259701**

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Reappoint Ernst & Young Inc as Auditors of the Company with Lance Tomlinson as the Designated Individual Partner	For	For
2	Elect Sindiswa Zilwa as Director	For	For
3	Re-elect Rick Menell as Director	For	For
4	Re-elect Keith Rayner as Director	For	For
5	Re-elect Jerry Vilakazi as Director	For	For
6	Re-elect Keith Rayner as Chair of the Audit Committee	For	For
7	Re-elect Timothy Cumming as Member of the Audit Committee	For	For
8	Re-elect Savannah Danson as Member of the Audit Committee	For	For
9	Re-elect Rick Menell as Member of the Audit Committee	For	For
10	Re-elect Nkosemntu Nika as Member of the Audit Committee	For	For
11	Re-elect Susan van der Merwe as Member of the Audit Committee	For	For
12	Elect Sindiswa Zilwa as Member of the Audit Committee	For	For
13	Place Authorised but Unissued Shares under Control of Directors	For	For
14	Authorise Board to Issue Shares for Cash	For	For
15	Approve Remuneration Policy	For	For
16	Approve Remuneration Implementation Report	For	For
1	Approve Remuneration of Non-Executive Directors	For	For
2	Approve Fees of Investment Committee Members	For	For
3	Approve Per Diem Allowance	For	For
4	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	For	For
5	Authorise Repurchase of Issued Share Capital	For	For

**Company** : PT Telkom Indonesia (Persero) Tbk

**Ticker** : TLKM

**CUSIP No.** : Y71474145

**Meeting Date** : 2021-05-28

**Meeting Type** : Annual

**ISIN** : ID1000129000

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Annual Report and Statutory Reports	For	For
2	Approve Report of the Partnership and Community Development Program (PCDP)	For	For
3	Approve Allocation of Income and Dividends	For	For
4	Approve Remuneration and Tantiem of Directors and Commissioners	For	For
5	Appoint Auditors of the Company and the Partnership and Community Development Program (PCDP)	For	For
6	Amend Articles of Association	For	Against
7	Approve Ratification of State-Owned Enterprises Regulations	For	For
8	Approve Changes in the Boards of the Company	For	Against

**Company** : Wipro Limited

**Meeting Date** : 2021-06-06

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

Ticker : 507685  
CUSIP No. : Y96659142

Meeting Type : Special  
ISIN : INE075A01022

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Reelect Patrick J. Ennis as Director	For	For
2	Reelect Patrick Dupuis as Director	For	For

Company : Taiwan Semiconductor Manufacturing Co., Ltd.  
Ticker : 2330  
CUSIP No. : Y84629107

Meeting Date : 2021-06-08  
Meeting Type : Annual  
ISIN : TW0002330008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Business Operations Report and Financial Statements	For	For
2	Approve Amendment to Rules and Procedures for Election of Directors	For	For
3	Approve Issuance of Restricted Stocks	For	For
4.1	Elect Mark Liu, with Shareholder No. 10758, as Non-independent Director	For	For
4.2	Elect C.C. Wei, with Shareholder No. 370885, as Non-independent Director	For	For
4.3	Elect F.C. Tseng, with Shareholder No. 104, as Non-independent Director	For	For
4.4	Elect Ming Hsin Kung. a Representative of National Development Fund, Executive Yuan, with Shareholder No. 1, as Non-independent Director	For	For
4.5	Elect Peter L. Bonfield, with Shareholder No. 504512XXX, as Independent Director	For	For
4.6	Elect Kok Choo Chen, with Shareholder No. A210358XXX, as Independent Director	For	For
4.7	Elect Michael R. Splinter, with Shareholder No. 488601XXX, as Independent Director	For	For
4.8	Elect Moshe N. Gavrielov, with Shareholder No. 505930XXX, as Independent Director	For	For
4.9	Elect Yancey Hai, with Shareholder No. D100708XXX, as Independent Director	For	For
4.10	Elect L. Rafael Reif, with Shareholder No. 545784XXX, as Independent Director	For	For

Company : United Microelectronics Corp.  
Ticker : 2303  
CUSIP No. : Y92370108

Meeting Date : 2021-06-08  
Meeting Type : Annual  
ISIN : TW0002303005

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve Business Report and Financial Statements	For	For
2	Approve Profit Distribution	For	For
3	Approve Issuance Plan of Private Placement for Common Shares, DRs or Euro/Domestic Convertible Bonds	For	Against

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

4.1	Elect Wenyi Chu with ID No. E221624XXX as Independent Director	For	For
4.2	Elect Lih J. Chen with ID No. J100240XXX as Independent Director	For	For
4.3	Elect Jyuo-Min Shyu with ID No. F102333XXX as Independent Director	For	For
4.4	Elect Kuang Si Shiu with ID No. F102841XXX as Independent Director	For	For
4.5	Elect Wen-Hsin Hsu with ID No. R222816XXX as Independent Director	For	For
4.6	Elect Ting-Yu Lin with Shareholder No. 5015 as Non-independent Director	For	For
4.7	Elect Stan Hung with Shareholder No. 111699 as Non-independent Director	For	For
4.8	Elect SC Chien, a Representative of Hsun Chieh Investment Co., Ltd. with Shareholder No. 195818 as Non-independent Director	For	For
4.9	Elect Jason Wang, a Representative of Silicon Integrated Systems Corp. with Shareholder No. 1569628 as Non-independent Director	For	For

Company : **PLDT Inc.**  
 Ticker : **TEL**  
 CUSIP No. : **Y7072Q103**

Meeting Date : **2021-06-08**  
 Meeting Type : **Annual**  
 ISIN : **PHY7072Q1032**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Approve the Audited Financial Statements for the Fiscal Year Ending December 31, 2020 Contained in the Company's 2020 Annual Report	For	For
2.1	Elect Bernido H. Liu as Director	For	Withhold
2.2	Elect Artemio V. Panganiban as Director	For	Withhold
2.3	Elect Bernadine T. Siy as Director	For	For
2.4	Elect Manuel L. Argel, Jr. as Director	For	Withhold
2.5	Elect Helen Y. Dee as Director	For	Withhold
2.6	Elect Ray C. Espinosa as Director	For	Withhold
2.7	Elect James L. Go as Director	For	Withhold
2.8	Elect Shigeki Hayashi as Director	For	Withhold
2.9	Elect Junichi Igarashi as Director	For	Withhold
2.10	Elect Manuel V. Pangilinan as Director	For	For
2.11	Elect Alfredo S. Panlilio as Director	For	For
2.12	Elect Albert F. del Rosario as Director	For	Withhold
2.13	Elect Marife B. Zamora as Director	For	Withhold

Company : **PetroChina Company Limited**  
 Ticker : **857**  
 CUSIP No. : **Y6883Q104**

Meeting Date : **2021-06-10**  
 Meeting Type : **Annual**  
 ISIN : **CNE100003W8**

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
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## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

1	Approve 2020 Report of the Board of Directors	For	For
2	Approve 2020 Report of the Supervisory Committee	For	For
3	Approve 2020 Financial Report	For	For
4	Approve Declaration and Payment of the Final Dividends	For	For
5	Authorize Board to Determine the Distribution of Interim Dividends	For	For
6	Approve PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Domestic and International Auditors and Authorize Board to Fix Their Remuneration	For	For
7	Approve Guarantees to be Provided to the Subsidiaries and Affiliated Companies of the Company and Relevant Authorization to the Board	For	Against
8	Approve Grant of General Mandate to the Board to Determine and Deal with the Issue of Debt Financing Instruments	For	For

Company : Infosys Limited

Ticker : 500209

CUSIP No. : Y4082C133

Meeting Date : 2021-06-19

Meeting Type : Annual

ISIN : INE009A01021

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Final Dividend	For	For
3	Reelect U.B. Pravin Rao as Director	For	For
4	Approve Buyback of Equity Shares	For	For
5	Reelect Michael Gibbs as Director	For	For
6	Elect Bobby Parikh as Director	For	For
7	Elect Chitra Nayak as Director	For	For
8	Approve Changing the Terms of Remuneration of U.B. Pravin Rao as Chief Operating Officer and Whole-time Director	For	For

Company : ASE Technology Holding Co., Ltd.

Ticker : 3711

CUSIP No. : Y0249T100

Meeting Date : 2021-06-22

Meeting Type : Annual

ISIN : TW0003711008

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
F1	Approve Business Operations Report and Financial Statements	For	For
F2	Approve Plan on Profit Distribution	For	For
G1	Approve Amendments to Lending Procedures and Caps	For	Against
G2	Approve Amendments to Articles of Association	For	Against
G3	Approve Issuance of Restricted Stocks	For	For
H1.1	Elect JASON C.S. CHANG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	For
H1.2	Elect RICHARD H.P.CHANG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold



## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

H1.3	Elect CHI-WEN TSAI, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold
H1.4	Elect YEN-CHUN CHANG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold
H1.5	Elect TIEN WU, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold
H1.6	Elect JOSEPH TUNG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold
H1.7	Elect RAYMOND LO, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold
H1.8	Elect TS CHEN, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold
H1.9	Elect JEFFERY CHEN, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director	For	Withhold
H1.10	Elect RUTHERFORD CHANG, with SHAREHOLDER NO.00059235 as Non-independent Director	For	Withhold
H1.11	Elect SHENG-FU YOU (Sheng-Fu Yo), with SHAREHOLDER NO.H101915XXX as Independent Director	For	For
H1.12	Elect MEI-YUEH HO, with SHAREHOLDER NO.Q200495XXX as Independent Director	For	For
H1.13	Elect PHILIP WEN-CHYI ONG, with SHAREHOLDER NO.A120929XXX as Independent Director	For	For
I.1	Approve Release of Restrictions of Competitive Activities of Newly Appointed Directors	For	Against

**Company** : Mobile TeleSystems PJSC  
**Ticker** : MTSS  
**CUSIP No.** : X5430T109

**Meeting Date** : 2021-06-23  
**Meeting Type** : Annual  
**ISIN** : RU0007775219

<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1.1	Approve Annual Report and Financial Statements	For	For
1.2	Approve Allocation of Income and Dividends of RUB 26.51 per Share	For	For
2.1	Elect Feliks Evtushenkov as Director	None	Against
2.2	Elect Artem Zasurskii as Director	None	Against
2.3	Elect Viacheslav Nikolaev as Director	None	Against
2.4	Elect Regina Dagmar Benedicta von Flemming as Director	None	For
2.5	Elect Shaygan Kheradpir as Director	None	For
2.6	Elect Thomas Holtrop as Director	None	Against
2.7	Elect Nadia Shouraboura as Director	None	For
2.8	Elect Konstantin Ernst as Director	None	For

## Proxy Voting Results

### First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)

2.9	Elect Valentin Iumashev as Director	None	For
3.1	Elect Irina Borisenkova as Member of Audit Commission	For	For
3.2	Elect Evgenii Madorskii as Member of Audit Commission	For	For
3.3	Elect Natalia Mikheeva as Member of Audit Commission	For	For
4	Ratify Auditor	For	For
5	Approve New Edition of Charter	For	For

Company : NetEase, Inc.

Ticker : 9999

CUSIP No. : G6427A102

Meeting Date : 2021-06-23

Meeting Type : Annual

ISIN : KYG6427A1022

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<u>Item</u>	<u>Proposal Description</u>	<u>Mgmt Rec.</u>	<u>Vote Cast</u>
1a	Elect William Lei Ding as Director	For	For
1b	Elect Alice Yu-Fen Cheng as Director	For	For
1c	Elect Denny Ting Bun Lee as Director	For	For
1d	Elect Joseph Tze Kay Tong as Director	For	For
1e	Elect Lun Feng as Director	For	For
1f	Elect Michael Man Kit Leung as Director	For	For
	Approve Appointment of PricewaterhouseCoopers Zhong Tian		
2	LLP and PricewaterhouseCoopers as Auditors	For	For
3	Amend Memorandum and Articles of Association	For	For