

# First Trust AlphaDEX™ Emerging Market Dividend ETF (CAD-Hedged)

**Tickers: FDE/FDE.A**

## Quarter End Statement of Investments

3rd Quarter as at September 30, 2021

Sector Allocation	% of Net Asset Value
Materials	26.87%
Communication Services	15.61%
Energy	13.50%
Utilities	11.64%
Information Technology	11.02%
Financials*	7.94%
Consumer Staples	6.21%
Industrials	3.13%
Consumer Discretionary	2.87%
Cash & Cash Equivalents	0.81%
<b>Total</b>	<b>99.59%</b>
<b>Other Assets and Liabilities</b>	<b>0.41%</b>
<b>Total Net Asset Value</b>	<b>100.00%</b>

\*Financials Sector may include Real Estate

Country Allocation	% of Net Asset Value
Brazil	39.86%
China	15.46%
South Africa	10.74%
Columbia	6.05%
Taiwan	6.03%
India	5.70%
Turkey	4.45%
Mexico	4.01%
Marshall Islands	3.13%
Indonesia	0.92%
Russia	0.89%
Chile	0.81%
United States	0.73%

Top 25 Holdings	% of Net Asset Value
Companhia Paranaense de Energia-Copel (Preference, ADR)	5.21%
Turkcell Iletisim Hizmetleri A.S. (ADR)	4.45%
China Petroleum & Chemical Corporation (Sinopec) (ADR)	4.33%
PetroChina Company Limited (ADR)	4.31%
Tecnoglass Inc.	3.76%
Gerdau S.A. (ADR)	3.74%
ASE Technology Holding Co., Ltd.	3.72%
FinVolution Group (ADR)	3.57%
TIM SA (ADR)	3.52%
Telefonica Brasil, S.A. (ADR)	3.49%
Star Bulk Carriers Corp.	3.13%
Vale S.A. (ADR)	3.08%
Companhia Brasileira de Distribuicao Grupo Pao de Acucar (ADR)	2.99%
Companhia Siderurgica Nacional S.A. (ADR)	2.93%
Vedanta Limited (ADR)	2.61%
Petroleo Brasileiro S.A. - Petrobras (ADR)	2.57%
Gold Fields Limited (ADR)	2.55%
Sibanye Stillwater Ltd. (ADR)	2.55%
Companhia Energetica de Minas Gerais-CEMIG (ADR)	2.47%
Companhia de Saneamento Basico do Estado de Sao Paulo-SABESP (ADR)	2.34%
Harmony Gold Mining Company Limited (ADR)	2.32%
United Microelectronics Corporation (ADR)	2.31%
Ecopetrol S.A. (ADR)	2.29%
Grupo Televisa, S.A.B. (ADR)	2.15%
Wipro Limited (ADR)	2.12%
<b>Total Net Asset Value</b>	<b>\$6,124,204</b>

Commissions, trailing commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. The Quarter End Statement of Investments may change due to the ETF's ongoing portfolio transactions. Updates are available quarterly. Data is as of September 30, 2021 (unaudited).

(10/21)