

# First Trust NYSE Arca Biotechnology ETF

(fka First Trust AlphaDEX™ U.S. Materials Sector Index ETF)

**Ticker: FBT**

## Quarter End Statement of Investments

1st Quarter as at March 31, 2026

Sector Allocation	% of Net Asset Value
Exchange-Traded Fund (First Trust NYSE® Arca® Biotechnology Index Fund [“Underlying Fund”])*	99.96%
Cash and Cash Equivalents	0.05%
<b>Total</b>	<b>100.01%</b>
<b>Other Assets and Liabilities</b>	<b>(0.01%)</b>
<b>Total Net Asset Value</b>	<b>100.00%</b>

Sector Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Health Care	99.89%
Cash & Cash Equivalents	0.12%

Country Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
United States	83.32%
Ireland	4.23%
The Netherlands	3.29%
Germany	3.16%
Switzerland	3.12%
Denmark	2.78%

Holdings	% of Net Asset Value
First Trust NYSE® Arca® Biotechnology Index Fund	99.96%
<b>Total Net Asset Value</b>	<b>\$3,868,032</b>

Top 25 Holdings of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Moderna, Inc.	5.26%
United Therapeutics Corporation	4.36%
Alkermes Plc	4.24%
Gilead Sciences, Inc.	4.09%
Axsome Therapeutics, Inc.	3.89%
Amgen, Inc.	3.83%
Corcept Therapeutics, Inc.	3.82%
Krystal Biotech, Inc.	3.51%
Biogen, Inc.	3.47%
Exelixis, Inc.	3.46%
Neurocrine Biosciences, Inc.	3.45%
Regeneron Pharmaceuticals, Inc.	3.45%
Vertex Pharmaceuticals, Inc.	3.42%
BioMarin Pharmaceutical Inc.	3.40%
argenx SE (ADR)	3.29%
Sarepta Therapeutics, Inc.	3.24%
Halozyme Therapeutics, Inc.	3.17%
BioNTech SE (ADR)	3.16%
Incyte Corporation	3.13%
BeOne Medicines Ltd. (ADR)	3.12%
Illumina, Inc.	3.10%
Natera, Inc.	3.03%
ACADIA Pharmaceuticals, Inc.	2.95%
Alnylam Pharmaceuticals, Inc.	2.95%
Bio-Techne Corporation	2.83%
<b>Total Net Asset Value of the Underlying Fund (in USD)</b>	<b>\$1,096,405,689</b>

\*The First Trust ETF obtains exposure to the performance of an index of U.S. companies in the biotechnology industry, initially the NYSE® Arca Biotechnology Index (the “Index”) by investing in the Underlying Fund, a U.S.-listed index fund (i.e. *First Trust NYSE® Arca® Biotechnology Index Fund [the “Underlying Fund”]*) which itself replicates or substantially replicates the Index.

Commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the simplified prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. Data is as of March 31, 2026 (unaudited).