

# First Trust SMID Cap Rising Dividend Achievers ETF

**Ticker: SDVY / SDVY.F**

## Quarter End Statement of Investments

3rd Quarter as at September 30, 2024

Sector Allocation	% of Net Asset Value
Exchange-Traded Fund (First Trust SMID Cap Rising Dividend Achievers ETF [“Underlying Fund”])*	99.61%
Cash and Cash Equivalents	0.74%
<b>Total</b>	<b>100.35%</b>
<b>Other Assets and Liabilities</b>	<b>(0.35%)</b>
<b>Total Net Asset Value</b>	<b>100.00%</b>

Sector Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
Financials	32.38%
Industrials	24.68%
Consumer Discretionary	18.87%
Energy	9.10%
Materials	6.45%
Information Technology	4.20%
Communication Services	3.17%
Consumer Staples	1.04%
Cash and Cash Equivalents	0.05%
<b>Total</b>	<b>99.93%</b>
<b>Other Assets and Liabilities</b>	<b>0.07%</b>
<b>Total Net Asset Value of the Underlying Fund</b>	<b>100.00%</b>

Country Allocation of the Underlying Fund	% of Net Asset Value of the Underlying Fund
United States	93.54%
Bermuda	3.16%
Puerto Rico	2.11%
Switzerland	1.07%

Holdings	% of Net Asset Value
First Trust SMID Cap Rising Dividend Achievers ETF	99.61%
<b>Total Net Asset Value</b>	<b>\$2,095,601</b>

Top 25 Holdings of the Underlying Fund	% of Net Asset Value of the Underlying Fund
A.O. Smith Corporation	1.13%
Expeditors International of Washington, Inc.	1.11%
CF Industries Holdings, Inc.	1.10%
Landstar System, Inc.	1.10%
Matson, Inc.	1.10%
Steel Dynamics, Inc.	1.10%
Chesapeake Energy Corporation	1.09%
Corebridge Financial, Inc.	1.09%
Ralph Lauren Corporation	1.09%
Westlake Corporation	1.09%
AGCO Corporation	1.08%
Ally Financial, Inc.	1.08%
Commercial Metals Company	1.08%
Crane Company	1.08%
Graco, Inc.	1.08%
ITT, Inc.	1.08%
Kontoor Brands, Inc.	1.08%
Lincoln Electric Holdings, Inc.	1.08%
Steven Madden, Ltd.	1.08%
SLM Corporation	1.08%
Unum Group	1.08%
Voya Financial, Inc.	1.08%
Williams-Sonoma, Inc.	1.08%
Boise Cascade Company	1.07%
First BanCorp.	1.07%
<b>Total Net Asset Value of the Underlying Fund (in USD)</b>	<b>\$6,122,083,500</b>

\*The First Trust ETF obtains exposure to the performance of an index of U.S. small and mid cap companies, net of expenses, initially the Nasdaq US Small Mid Cap Rising Dividend Achievers™ Index (the “Index”) by investing in the Underlying Fund, a U.S.-listed index ETF (i.e. First Trust SMID Cap Rising Dividend Achievers ETF [the “Underlying Fund”]), which itself to replicate or substantially replicates the Index.

Commissions, management fees and expenses all may be associated with ETF investments. Please carefully read the simplified prospectus of the ETF before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. Data is as of September 30, 2024 (unaudited).